

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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First Named Inventor or Application Identifier

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ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

ACCOMPANYING APPLICATION PARTS

1. ☒ Specification [Total Pages 20]
2. ☒ Drawing(s) (35USC 113) [Total Pages 7]
3. ☒ Declaration and Power of Attorney [Total Pages 2]
- a. ☒ Newly executed declaration (Original copy)
- b. ☐ Copy from prior application (37CFR 1.63(d))
(for continuation/divisional with Box 14 completed)
- i. ☐ [Note Box 4 Below]
DELETION OF INVENTOR(S)
Signed statement attached deleting
Inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).
4. ☐ Incorporation By Reference (usable if Box 3b is checked)
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 3b,
is considered as being part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.

5. ☒ Assignment Papers (cover sheet & documentation)
Sony Corporation
6. ☐ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
9. ☐ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
11. ☐ Small Entity Statement(s) Status still proper and desired
12. ☒ Certified Copy of Priority Document(s) Japanese
Application No. P11-213247 filed July 28, 1999
13. ☐ Other:

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No. /

CLAIMS AS FILED

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$690.00
TOTAL CLAIMS 20	7			
INDEPENDENT CLAIMS 3	2			
ANY MULTIPLE DEPENDENT CLAIMS? (YES (X) NO)				
			TOTAL FILING FEE ->	\$690.00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 08-2290. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$ 690.00 to cover the filing fee is enclosed.

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491:1190

U-11

DATE: July 20, 2000

3,870

**CHARGE TRANSFER DEVICE AND
SOLID-STATE IMAGE PICKUP DEVICE**

BACKGROUND OF THE INVENTION

1. Field of the Invention

The present invention relates to a charge transfer device in which two-phase driving pulses are applied to a number of two-layered transfer electrodes arranged above a transfer channel to perform a transfer operation, and a solid-state image pickup device using the same.

2. Description of the related Art

Generally, in a solid-state image pickup device such as CCD (Charge Coupled Device) area sensor or the like, signal charges which are photoelectrically converted by a photosensor serving as an image receiving element are transferred in a vertical direction by plural vertical transfer registers, and the signal charge thus transferred by each of the vertical transfer registers is transferred in a horizontal direction by a horizontal transfer register which is driven in two-phase.

Figs. 5A to 5C show the construction of a horizontal transfer register and an output portion in a conventional solid-state image pickup device (CCD area sensor), wherein Fig. 5A is a cross-sectional view showing the arrangement of electrodes of the horizontal transfer register, Fig. 5B shows the potential distribution corresponding the electrode

arrangement, and Fig. 5C is a plan view showing the structure in the neighborhood of the last stage of the horizontal transfer register.

In Fig. 5, a number of two-layered transfer electrodes 51 are arranged along a charge transfer direction X above a transfer channel 50, and a gate electrode 52 is formed so as to be adjacent to a transfer electrode 51L located at the last stage thereof (hereinafter referred to as "last-stage transfer electrode"). Each of the two-layered transfer electrodes 51, 51L is constructed by a transfer electrode 51a, 51La as the first layer and a transfer electrode 51b, 51Lb as the second layer. Further, a two-phase driving pulse ϕ_{H1} , ϕ_{H2} is applied to the two-layered transfer electrode 51 (containing 51L), and a gate voltage (DC voltage) V_{HOG} is applied to the gate electrode 52.

Besides, in the potential distribution of the transfer channel 50, the potential level corresponding to the transfer electrode 51a, 51La of the first layer is set to be deeper the potential level corresponding to the transfer electrode 51b, 51Lb of the second layer by doping an area below the transfer electrode 51b, 51Lb of the second layer with such impurities as to shallow the potential level. With the doping of these impurities, in the area of the transfer channel 50, a storage portion (1) is formed below the transfer electrodes 51a, 51La of the first layer and a transfer portion (2) is formed below

the transfer electrodes 51b, 51Lb of the second layer.

Further, a floating diffusion area (hereinafter referred to as "FD area") is connected through the gate electrode 52 to the last-stage transfer electrode 51L. The FD area 53 serves to detect the charge amount of signal charges transferred by the last-stage transfer electrode 51L and convert the charges to the voltage corresponding to the charge amount thus detected.

Next, the manufacturing process of the horizontal transfer register in the conventional solid-stage image pickup device will be described with reference to Figs. 6A to 6D.

First, as shown in Fig. 6A, an N-type transfer channel is formed on a semiconductor substrate, and then the electrodes 51a, 51La of the first layer are formed. Subsequently, as shown in Fig. 6B, a predetermined portion is covered by a resist mask 54, and then P-type impurities to shallow the channel potential are doped by an ion implantation method or the like with the electrodes 5151a, 51La of the first layer as a mask.

Subsequently, as shown in Fig. 6C, the peripheral portions of the electrodes 51a, 51La of the first layer are covered by insulating material by oxidizing the electrodes 51a, 51La of the first layer or the like, and then the electrodes 51b, 51Lb, 52 of the second layer are formed. Finally, as shown in Fig. 5D, the electrode 52 at the end position is wired to form a gate electrode. Further, the other electrodes 51a, 51La

of the first layer and the electrodes 51b, 51Lb of the second layer which are adjacent to each other are connected to each other to form the transfer electrodes 51, 51L of the second layer.

The maximum operating charge amount in the horizontal transfer register (hereinafter merely referred to as "operating charge amount") increases in proportion to the potential difference between the storage portion (1) and the transfer portion (2) and the electrode length L_{st} and the channel width W of the storage portion (1). In other words, the operating charge amount of the horizontal transfer register is determined by two parameters of the potential difference between the storage portion (1) and the transfer portion (2) and the electrode area of the storage portion (1) (the effective electrode area corresponding to the channel width).

The FD area 53 is formed of a more minute area than the transfer electrodes 51, 51L in order to increase the charge-to-voltage conversion gain and thus enhance the detection sensitivity. Therefore, the transfer channel 50 of the horizontal transfer register is designed so that the channel width W is reduced from the vicinity of the last-stage transfer electrode 51L to the FD area 53.

In this case, the electrode area of the storage portion of the last-stage transfer electrode 51L is reduced as the channel width W is reduced, so that the operating charge amount

is reduced by the amount corresponding to the reduction of the electrode area. Therefore, it may be considered to alter the two parameters in order to prevent the reduction of the operating charge amount. However, with respect to the potential difference between the storage portion (1) and the transfer portion (2), if the potential difference is increased to ensure the operating charge amount, the amplitude at the transfer operation time is increased and thus the consumption power is increased. Therefore, in the present situation, there may be considered a method of increasing the electrode length Lst of the storage portion (1) of the last-stage transfer electrode 51L as shown in Fig. 7 to increase the electrode area at that place and ensure the operating charge amount.

However, if the electrode length Lst of the storage portion (1) of the last-stage transfer electrode 51L is increased as described above, the transfer distance (Lst+Ltr) at the last-stage transfer electrode 51L is also increased by the amount corresponding to the increase of the electrode length Lst, and thus the signal charge is hard to flow and thus the transfer efficiency is lowered. As a result, a transfer failure of the signal charge at the last-stage transfer electrode 51L occurs (the transfer is left uncompleted or the like), and image quality deterioration (color mixture, image tear or the like) occurs.

SUMMARY OF THE INVENTION

The present invention has been made in view of the above circumstances, and provides a charge transfer device which can secure a sufficient operating charge amount without deteriorating the transfer efficiency, and a solid-state image pickup device using the charge transfer device.

According to an aspect of the present invention, there is provided a charge transfer device having a transfer channel and plural pairs of two-layered transfer electrodes arranged along a transfer direction on the transfer channel, wherein two-phase driving pulses are applied to the plural pairs of two-layered transfer electrodes, and the transfer channel below a paired two-layered transfer electrode disposed at the last portion in the transfer direction has a first area, a second area which is provided at the downstream of the first area in the transfer direction and has a deeper potential level than the first area, and a third area which is provided at the downstream of the second area in the transfer direction and has a deeper potential level than the second area.

According to another aspect of the present invention, there is provided a solid-state image pickup device including an image pickup portion which contains plural photosensors and converts input light to electrical signals by the plural photosensors, a transfer channel for transferring the charges

thus photoelectrically converted in the image pickup portion, and plural pairs of two-layered transfer electrodes arranged along a transfer direction on the transfer channel, wherein two-phase driving pulses are applied to the plural pairs of two-layered transfer electrodes, and the transfer channel below a paired two-layered transfer electrode below the paired two-layered transfer electrodes disposed at the last portion in the transfer direction has a first area, a second area which is provided at the downstream of the first area in the transfer direction and has a deeper potential level than the first area, and a third area which is provided at the downstream of the second area in the transfer direction and has a deeper potential level than the second area.

According to the charge transfer device thus constructed and the solid-state image pickup device using the charge transfer device, the transfer channel below the last-stage transfer electrode is designed so as to have three or more stages of potential, and also the potential is set so as to be stepwise deeper from the upstream side to the downstream side, so that even when the electrode length of the storage portion of the last-stage transfer electrode is increased, the signal charge flows more easily there due to the increase of the step number of the potential.

BRIEF DESCRIPTION OF THE DRAWINGS

Preferred embodiments of the present invention will be described in detail based on the following figures, wherein:

Fig. 1 is a diagram showing the construction of a CCD area sensor to which the present invention is applied;

Fig. 2 is a diagram showing the main part of a horizontal transfer register according to an embodiment of the present invention;

Fig. 3 is a diagram showing the manufacturing process of the horizontal transfer register according to the embodiment of the present invention;

Fig. 4 is a diagram showing another embodiment of the present invention;

Fig. 5 is a diagram showing the main part of a conventional horizontal transfer register;

Fig. 6 is a diagram showing the manufacturing process of the conventional horizontal transfer register; and

Fig. 7 is a diagram showing problems of the prior art.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Preferred embodiments according to the present invention will be described hereunder with reference to the accompanying drawings.

Fig. 1 is a diagram showing the construction of a CCD area sensor.

In Fig. 1, an image pickup portion 3 is constructed by

many photosensors 1 and plural vertical transfer registers 2. The respective photosensors 1 are two-dimensionally arranged in the horizontal direction (the right-and-left direction in Fig. 1) and the vertical direction (the up-and-down direction in Fig. 1), and each photosensor 1 serves to convert incident light thereto to the signal charge having the charge amount corresponding to the light amount of the incident light and store the signal charge. Each vertical transfer register 2 is provided every vertical array of the photosensors 1, and it serves to vertically transfer the signal charge read out from each photosensor 1.

In the image pickup portion 3, the signal charges obtained through the photoelectric conversion in the photosensors 1 are instantaneously read out to the vertical transfer registers during a part of a vertical blanking period. The vertical transfer registers 2 are driven, for example at four phases to transfer the signal charges read out from the photosensors 1 to the horizontal transfer register 4 during a part of a horizontal blanking period. The horizontal transfer register 4 is designed as a two-phase driving type which is driven on the basis of two-phase driving pulses $\phi H1$, $\phi H2$.

A charge detector 5 which is designed as a floating diffusion amplifier type is provided at the rear stage of the horizontal transfer register 4. The charge detector 5 detects the signal charge transferred from the horizontal transfer

register 4 and converts the signal charge to the signal voltage. The signal voltage thus converted is read out as CCD output through the output amplifier 6.

Figs. 2A to 2C show the construction of the horizontal transfer register and the output portion of the CCD area sensor according to an embodiment of the present invention, wherein Fig. 2A is a cross-sectional view showing the arrangement of the electrodes of the horizontal transfer register, Fig. 2C is a potential distribution diagram corresponding to the electrode arrangement, and Fig. 2C is a plan view showing the construction of the last stage of the horizontal transfer register and its surrounding.

In Fig. 2, many two-layered transfer electrodes 8 formed of polysilicon or the like are arranged along the charge transfer direction X above the transfer channel, and a gate electrode 9 is formed so as to be adjacent to the last-stage transfer electrode 8L disposed at the last stage in the charge transfer direction X. Further an FD area 10 is connected to the last-stage transfer electrode 8L through the gate electrode 9. The channel width W of the transfer channel 7 is set to be gradually reduced from the last-stage transfer electrode 8L to the FD area 10 (i.e., this area is designed in a tapered structure).

When the horizontal transfer register is driven to perform the transfer operation, the two-phase driving pulses

$\phi H1$, $\phi h2$ are applied to the two-layer transfer electrodes 8 (containing 8L), and also a gate voltage (DC voltage) $VHOG$ is applied to the gate electrode 9, whereby the signal charge delivered from the vertical transfer register 2 is transferred in the horizontal direction X by the horizontal transfer register 4, and collected to the FD area through the gate electrode 9 due to the tapered structure of the transfer channel 7.

Here, each transfer electrode 8 except for the transfer electrode at the last stage is constructed by a transfer electrode 8a of a first layer and a transfer electrode 8b of a second layer. On the other hand, the last-stage transfer electrode 8L is constructed by a transfer electrode 8La of a first layer and two transfer electrodes 8Lb of a second layer, that is, three electrodes.

Further, the potential distributions of the transfer channel 7 below each transfer electrode 8 and the last-stage transfer electrode 8L are different from each other. That is, with respect to the area corresponding to each transfer electrode 8, impurities for shallowing the potential level are doped into the area below the transfer electrode 8 of the second layer, whereby the potential level corresponding to the transfer electrode 8a of the first layer is set to be deeper than the potential level corresponding to the transfer electrode 8b of the second layer.

On the other hand, with respect to the area corresponding to the last-stage transfer electrode 8L, impurities for shallowing the potential level are doped into an area below the transfer electrode 8Lb of the second layer which is located at the upstream side (right side in the figure) in the charge transfer direction X, and also impurities for deepening the potential level are doped into an area below the transfer electrode 8Lb of the second layer which is located at the downstream side (left side in the figure) opposite to the above side, whereby the transfer channel below the electrode is designed to have three-step potential. The three-step potential is set to be stepwise deeper from the upstream side to the downstream side in the charge transfer direction X.

Accordingly, in the channel area corresponding to each transfer electrode 8, the storage portion (1) is formed below the transfer electrode 8a of the first layer, and the transfer portion (2) is formed below the transfer electrode 8b of the second layer. On the other hand, in the channel area corresponding to the last-stage transfer electrode 8L, a storage portion (1)' which has a larger electrode length than the storage portion (1) below the transfer electrode 8a of the first layer and is different from the storage portion (1) by predetermined potential is formed below the transfer electrode 8La of the first layer and below the downstream-side transfer electrode 8Lb of the second layer. Further, a transfer portion

(2)' which has the same electrode length L_{tr} as the transfer portion (2) below the transfer electrode 8b of the second layer is formed below the upstream-side transfer electrode 8Lb of the second layer.

Next, the manufacturing process of the horizontal transfer register according to this embodiment will be described with reference to Figs. 3A to 3e.

As shown in Fig. 3A, an N-type transfer channel is first formed on a semiconductor substrate, and then the electrodes 8a, 8La and 9 of the first layer are formed. Subsequently, as shown in Fig. 3B, a predetermined portion is covered by a resist mask 11 and then P-type impurities for shallowing the channel potential are doped by the ion implantation method or the like while the electrodes 8La, 8a of the first layers are used as a mask. Subsequently, as shown in Fig. 3C, the opposite side portion to the predetermined portion which was previously covered by the resist mask 11 is covered by a resist mask 12, and then N-type impurities for deepening the channel potential are doped by the ion implantation method or the like while the electrodes 8La, 9 of the first layer are used as a mask.

Subsequently, as shown in Fig. 3D, the electrodes 8a, 8La, 9 of the first layer are oxidized or the like to coat the peripheries of these electrodes with insulating material, and then the electrodes 8b, 8Lb of the second layer are formed. Finally, as shown in Fig. 3E, the electrode 9 of the first layer

at the end portion is wired to form a gate electrode, and the other electrodes 8a, 8La of the first layer and the electrodes 8b, 8Lb of the second layer which are adjacent to each other are connected to each other to form electrically-integral transfer electrodes 8, 8L.

At this time, with respect to the last-stage transfer electrode 8L, the electrode 8La of the first layer and each of the two electrodes 8Lb of the second layer are connected to each other to connect the three electrodes to one another. Accordingly, the transfer electrode of the second layer is formed as the combination of the electrode 8a of the first layer and the electrode 8b of the second layer, and the last-stage transfer electrode 8L of the second layer is formed as the combination of the electrode 8La of the first layer and the two electrodes 8Lb of the second layer.

In the horizontal transfer register 4 thus obtained, the transfer channel below the last-stage transfer electrode 8L is constructed to have three-step potential, and the potential concerned is set to be deeper from the upstream side to the down stream side in the charge transfer direction. Therefore, even when the electrode length (Lst) of the storage portion (1)' of the last-stage transfer electrode 8L is increased, the signal charge can flow more easily due to the increase of the number of the potential steps at the last stage. Accordingly, only the storage charge amount can be increased without

reducing the charge transfer efficiency at the last-stage transfer electrode 7L.

In the above embodiment, the last-stage transfer electrode 8L is constructed by three electrodes (the transfer electrode 8La of the first layer and the two transfer electrodes 8Lb of the second layer). However, as another way, the last-stage transfer electrode 8L may be constructed by two electrodes of the transfer electrode 8La of the first layer and the transfer electrode 8Lb of the second layer as shown in Fig. 4 and the potential difference may be formed below the transfer electrode 8La of the first layer, whereby the transfer channel below the last-stage transfer electrode 8L is constructed to have three-step potential.

The potential level of the transfer channel 7 below the transfer electrodes 8, 8L of the second layer may be set to any level in accordance with the concentration of the impurities, the doping amount, etc. based on the ion implantation method or the like. Further, the transfer channel 7 below the last-stage transfer electrode 8L may be constructed to have potential of three or more steps.

Further, in the above embodiment, the charge transfer device according to the present invention is applied to the horizontal transfer register of the CCD area sensor. However, the present invention may be applied to a transfer register of a CCD linear sensor.

As described above, according to the charge transfer device and the solid-state image pickup device of the present invention, the transfer channel below the last-stage transfer electrode is constructed to have potential of three or more steps, and the potential is set to be stepwise deeper from the upstream side to the downstream side in the charge transfer direction, whereby even when the electrode length of the storage portion of the last-stage transfer electrode is increased, only the storage charge amount can be increased without reducing the charge transfer efficiency. Accordingly, in the charge transfer register such as CCD sensor or the like, the operating charge amount can be increased without deteriorating the transfer efficiency.

WHAT IS CLAIMED IS

1. A charge transfer device comprising:

a transfer channel; and

plural pairs of two-layered transfer electrodes arranged along a transfer direction on the transfer channel, wherein two-phase driving pulses are applied to the plural pairs of two-layered transfer electrodes, and the transfer channel below a paired two-layered transfer electrode disposed at the last portion in the transfer direction has a first area, a second area which is provided at the downstream of the first area in the transfer direction and has a deeper potential level than the first area, and a third area which is provided at the downstream of the second area in the transfer direction and has a deeper potential level than the second area.

2. The charge transfer device as claimed in claim 1, further comprising transfer electrodes which are independently provided above the first area, the second area and the third area.

3. The charge transfer device as claimed in claim 2, wherein common driving pulses are applied to the independently-provided transfer electrodes.

4. The charge transfer device as claimed in claim 1, wherein commonly provided transfer electrodes are provided above the second area and the third area.

5. The charge transfer device as claimed in claim 4, wherein

common driving pulses are applied to the transfer electrodes provided above the first area and the commonly provided transfer electrode.

6. The charge transfer device as claimed in claim 1, wherein the transfer channel has at the last portion in the transfer direction an area which is gradually tapered at the downstream side, and at least the third area is disposed so as to be overlapped with the gradually-tapered area.

7. A solid-state image pickup device comprising:

an image pickup portion which contains plural photosensors and converts input light to electrical signals by the plural photosensors;

a transfer channel for transferring the charges thus photoelectrically converted in the image pickup portion; and

plural pairs of two-layered transfer electrodes arranged along a transfer direction on the transfer channel, wherein two-phase driving pulses are applied to the plural pairs of two-layered transfer electrodes, and the transfer channel below a paired two-layered transfer electrode disposed at the last portion in the transfer direction has a first area, a second area which is provided at the downstream of the first area in the transfer direction and has a deeper potential level than the first area, and a third area which is provided at the downstream of the second area in the transfer direction and

has a deeper potential level than the second area.

ABSTRACT OF THE DISCLOSURE

In a charge transfer device which has many two-layered transfer electrodes, 8L disposed along a charge transfer direction X above a transfer channel is driven with two-phase driving pulses supplied to the transfer electrodes of the second layer, the transfer channel below the last-stage transfer electrode disposed at the last stage of the charge transfer direction X is constructed to have three-step potential, and the potential is set to be stepwise deeper from the upstream side to the downstream side in the charge transfer direction X.

FIG. 1

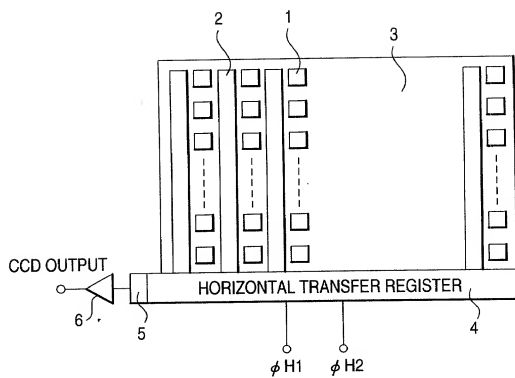


FIG. 3A

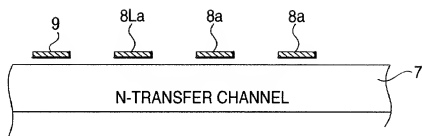


FIG. 3B

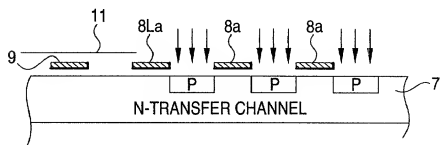


FIG. 3C

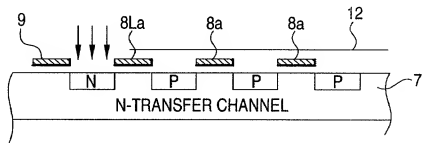


FIG. 3D

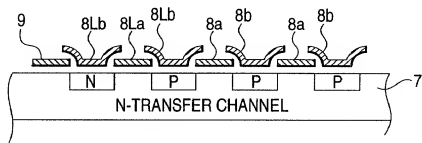


FIG. 3E

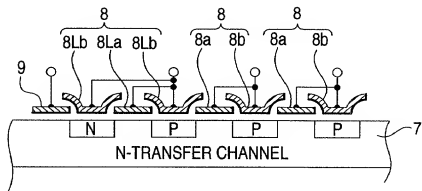


FIG. 4A

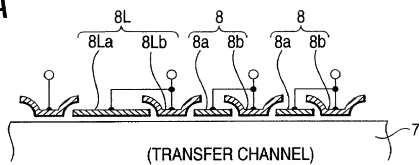


FIG. 4B

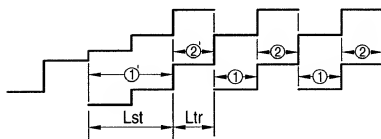


FIG. 4C

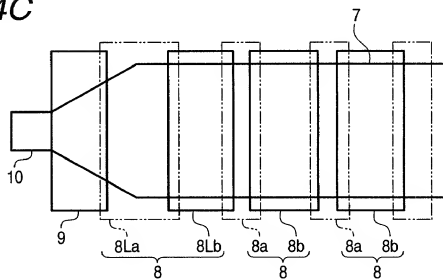


FIG. 6A

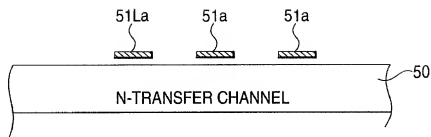


FIG. 6B

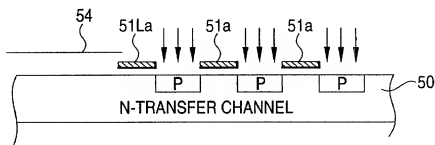


FIG. 6C

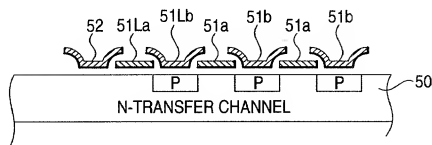


FIG. 6D

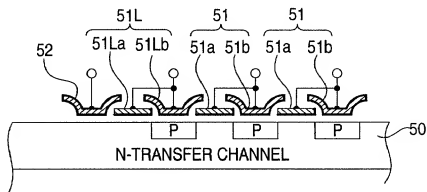
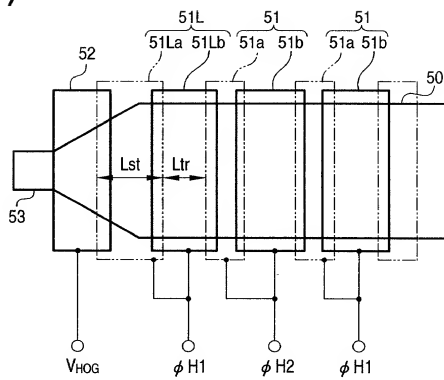


FIG. 7



DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

CHARGE TRANSFER DEVICE AND SOLID-STATE IMAGE PICKUP DEVICE

Case No. P00, 1021, the specification of which

(check one) X is attached hereto.
— was filed on _____ as
 Application Serial No. _____
 and was amended on _____
 (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent Office all information which is known to me to be material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, 1.56.¹

I do not know and do not believe this invention was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and I believe that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to this application, and that no application for patent or inventor's certificate on this invention has been filed in any country foreign to the United States of America prior to this application by me or my legal representatives or assigns, except as identified below:

I hereby claim foreign priority benefits under Title 35, United States Code, 119 of any foreign application(s) for patent or inventor's certificate listed below

Prior Foreign Application(s)

Number

Country

Date

P11-213247

Japan

July 28, 1999

and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the above listed application on which priority is claimed:

Prior Foreign Application(s)

Number

Country

Date

¹ (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

(1) It establishes, by itself or in combination with other information, a *prima facie* case of unpatentability of a claim; or

(2) It refuses, or is inconsistent with, a position the applicant takes in:

(i) Opposing an argument of unpatentability relied on by the Office, or

(ii) Asserting an argument of patentability.

A *prima facie* case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

If no priority is claimed, I have identified all foreign patent applications filed prior to this application:
 Prior Foreign Application(s)
 Number Country Date

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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